



THE REPUBLIC OF UGANDA

MINISTRY OF FINANCE, PLANNING AND ECONOMIC DEVELOPMENT

**NOTICE OF EXPRESSION OF INTEREST FOR INDIVIDUAL
CONSULTANCY TO PROVIDE PPP TECHNICAL ADVISORY SERVICES
PROCUREMENT REF: MOFPED/CONS/19-20/0047E
STEP REF: UG-PPP UNIT-159459-CS-INDV**

1. The Government of Uganda (GoU), through the National Development Plan II (NDP II), adopted the use of Public Private Partnerships (PPP) as a way of increasing private sector investment and participation in the provision of public infrastructure assets and services. PPPs are expected to boost economic growth and foreign direct investments, enable more efficient development and delivery of public infrastructure and ensure the provision of good quality public services among others.
2. Accordingly, the GoU adopted a PPP Policy in 2010 and enacted the PPP Act No. 8 of 2015 as the enabling legislation for PPPs in Uganda. The PPP Act established the institutional framework to guide and support the delivery of the country's PPP agenda.
3. The PPP Act established the PPP Committee charged with the responsibility to, among others, ensure that each PPP agreement is consistent with the provisions of the PPP Act, formulate policy on PPPs, approve project proposals submitted to it by the Contracting Authority (CA), examine and approve feasibility studies conducted by a CA under the PPP Act, review the legal, regulatory and institutional framework of PPPs, oversee the monitoring and evaluation by CAs of a PPP from commencement to post completion stage, ensure approval of and fiscal accountability in the management of financial and any other form of support granted by the government in the implementation of projects under the PPP Act, and ensure efficient implementation of any project entered into by CAs.
4. The Law also established the PPP Unit as the Secretariat and technical arm of the PPP Committee to provide technical, financial and legal expertise in respect of PPP implementation to the PPP Committee and a

project team established under the Act. In addition, the PPP Unit also provides support to CAs to design, identify, select, prioritize, appraise and evaluate projects, review and assess requests for government support in relation to a project and advise the PPP Committee on the support that should be accorded to the project.

5. The World Bank recently carried out a Country PPP Diagnostic Study ("the Study") with the objective of determining the baseline of Uganda's PPP readiness and to assess gaps and weaknesses in the overall PPP enabling environment. The findings of the Study suggest that Uganda has basic common features of successful PPP programs. However, the Study also points out that the PPP Unit is understaffed, and the country lacks adequate ownership and capacity within line ministries and CAs to develop and implement PPPs.
6. Given its functions and its advisory mandate, the Study proposes that the PPP Unit be strengthened with in-house technical, legal and financial skills. To bridge current gaps as the PPP Unit builds internal capacities, the Study proposes that key skills and expertise required be sourced externally.
7. In order to achieve the above and address the skills gap, the PPP Unit seeks the services of a **PPP Advisor (technical)** on full time basis, who will provide technical expertise and support to the PPP Unit in the fulfilment of its mandate. Duty Station shall be Kampala.
8. Broadly, the role will include, but will not be limited to, supporting the PPP Unit, and the CAs and Transaction Advisors (TA), in policy and frameworks, institution and capacity building, and identifying, appraising, procuring, negotiating and managing PPP projects and reviewing project documentation leading to successful financial closure. The Advisor will report to the Director PPP Unit for day to day activities.
9. The Advisor will be appointed by the PPP Unit on a contractual basis covering the scope of work, key deliverables, timelines, etc. for an initial period of 12 months, with a possibility of renewal for another 12 months based on performance, business need and budget availability.
10. The PPP Unit will provide office space, with connectivity to internet, printer and access to other office amenities while in office of the PPP Unit.
11. Out of pocket expenses towards work related travel will be reimbursed as per rules and regulations applicable to the staff of PPP Unit.

12. The following sections detail the objective, scope of work, key deliverables, timelines, qualifications and experience requirements for the position.

OBJECTIVE

The main objective of the PPP Technical Advisor's assignment includes reviews of all project documentation and sector related aspects of PPP policy and process from the engineering, technical and cost perspectives as well as all necessary initial steps relating to project preparation. The PPP Technical Advisor will work closely with the other advisors and under the guidance of the Senior PPP technical Advisor and the overall leadership of the Director PPP Unit in providing technical inputs to policy and projects.

SCOPE OF WORK

The PPP Advisor (Technical) is expected to meet the above objective, including without limitation, performing the following tasks. The PPP Advisor (Technical) will work closely with and under the technical guidance of the Senior PPP Advisor in undertaking the following tasks:

- a. Assist the PPP Unit and the CAs in carrying out the necessary preparatory steps including data collection, financial and economic analysis and modality design to complete the PPP screening process and the initial concept note;
- b. Support the PPP unit and the CAs in the technical aspects relating to the procurement of TAs, including but not limited to drafting of the technical aspects of TA TORs, and technical criteria for evaluation of bids, and including support during the procurement process;
- c. Provide technical support in the development and completion of the feasibility study report, including the engineering, site, environmental and social aspects, with emphasis on the technical feasibility section and the costing and technical aspects for a viable PPP model design;
- d. Support implementation of the Act, Regulations and Guidelines;
- e. Support the development of new methodologies and tools such as monitoring and evaluation framework and tools;
- f. Support capacity building and sensitization activities for public and private providers
- g. Support the PPP unit and the CA in the tendering process including development of tendering documents based on technical requirements and costing, review and selection of submissions, preparation of negotiating terms relating to cost, technical and design aspects of the project, participation on technical aspects of negotiation;

- h. Support the development of contract documentation;
- i. Support the implementation of the PPP program including provider and payment management, quarterly monitoring and evaluation data collection and synthesis, and supportive supervision;
- j. Develop, review, and prepare necessary technical and program related reports, including presentations, briefs and working papers;
- k. Coordinate with sector ministries in promoting sector strategies to facilitate the implementation of PPP projects;
- l. Set up internal systems to facilitate monitoring, evaluation and early identification of problems and work with team members to respond to the challenges considering the provisions of the Act, regulations and PPP guidelines;
- m. Compile reports and updates on implementation progress and routinely provide updates to the Director PPP Unit;
- n. Other duties and activities as assigned by Director PPP Unit.

KEY DELIVERABLES AND TIMELINES

The PPP Advisor (Technical) is expected to perform the scope of work on an ongoing basis during the term of his/her assignment, to the satisfaction of the Director PPP Unit, within the guidelines set out herein and based on best industry practices. The specific deliverables are as follows, among other work that the PPP Advisor (financial) will be expected to carry out on a regular basis, including providing advice to the Director PPP Unit and PPP Committee as required.

No.	Deliverable	Timing for the delivery
1	<ul style="list-style-type: none"> • Develop model TORs for undertaking design and engineering feasibility studies • Develop model environmental and social assessment TORs in accordance with E&S requirements of the World Bank • Develop sector level capital cost and O&M cost benchmarks for PPP projects in the pipeline • Reports on the actual reviews undertaken on the detailed feasibility studies and other project documents submitted to the PPP Unit during the month 	End of 3 rd Month
2	<ul style="list-style-type: none"> • Data collection and compilation from key CAs on delay analysis of projects to support VFM 	End of 6 th

No.	Deliverable	Timing for the delivery
	assessments. <ul style="list-style-type: none"> • Prepare templates and processes for technical evaluation of projects • Management of inputs for M&E related disclosures as a part of the PPP disclosure related work • Reports on the actual reviews undertaken on the detailed feasibility studies and other project documents submitted to the PPP Unit during the month 	Month
3	<ul style="list-style-type: none"> • Contract management processes for evaluation of performance indicators • Reports on the actual reviews undertaken on the detailed feasibility studies and other project documents submitted to the PPP Unit during the month 	End of 9 th Month
4	<ul style="list-style-type: none"> • Reports on the actual reviews undertaken on the detailed feasibility studies and other project documents submitted to the PPP Unit during the month 	End of 12 th Month

Note: No technical expertise or any other form of support shall be provided by the Advisor on any project that involves coal power or upstream oil and gas production. The advice provided by the Advisor will be consistent with the World Bank's Environmental and Social Safeguards Policy

The selection criteria will include;

a) Eligibility

1. A signed statement indicating that the consultant does not have a conflict of interest in the subject of the procurement;
2. A signed statement stating that the consultant is not insolvent, in receivership, bankrupt or being wound up;
3. The consultant is not suspended by the PPDA or equivalent body

4. A signed statement stating that the consultant is not a subject of legal proceedings
5. The consultant has fulfilled the obligations to pay taxes in Uganda or elsewhere he or she is located with proof of a copy of valid Tax Clearance Certificate or its equivalent;

b) Qualifications

1. A Postgraduate degree in Civil Engineering, Construction Management, Quantity Surveying or a related field

c) Experience

1. At least six (6) years' experience in the development of large infrastructure projects on PPP or lender funded basis including extensive experience in construction; infrastructure costing, project management, project finance, project structuring; construction risk analysis and allocation, international bid process including preparation of bidding documentation, contract negotiations and post-bid process monitoring and evaluation among others
2. Experience working in Sub-Saharan Africa and or, Uganda in particular will be an added advantage.

d) Skills

1. Proven skills to successfully carry out the assignment; In this regard, it is required to attach detailed curriculum vitae (CVs) and copies of required qualification.
2. Must be able to work independently as well as part of a team
3. Fluency in spoken and written English;
4. Must have a balanced personality with excellent communication and interpersonal skills;
5. Ugandan citizens will have an added advantage.

e) Reference

At least three (3) references on similar PPP/long-term Concession or Lender funded Advisory assignments

f) Interviews

1. The consultant invited for the interview will bear the costs of attendance;
2. The consultant invited for the interview shall be notified of the arrangements for the interview
3. Where the consultant who is invited for the interview fails to attend, the consultant shall be eliminated from the selection process.

Shortlisted candidates will be required to complete the online Environment and Social Framework (ESF) training available through

<https://projects.worldbank.org/en/projects-operations/environmental-and-social-framework/brief/esf-training> prior to the interview.

13. Interested eligible individual consultants may obtain further information in writing at the address given below from **Monday to Friday** between **08:00am to 05:00pm**.

Ministry of Finance, Planning & Economic Development
Procurement & Disposal Unit
Plot 2/12 Apollo Kaggwa Road
Finance Building,
Floor 1, Room 1.5
Tel: 256-414-707000

14. Sealed Expressions of Interest (one original and three copies) must be submitted using the one stage-single envelope method delivered in written form must be delivered to the address in 7 above by 10:00am (**EAT/ local time**) on 18th May, 2020 at the address. The packaging must be clearly marked **PPP TECHNICAL Advisor**.

15. The notice of expression of interest is available at the Entity's website at www.finance.go.ug, www.pppunit.go.ug, www.worldbank.org.

16 The planned Procurement schedule (Subject to changes) is as follows:

Activity	Date
a. Publication of Notice of Expression of Interest	27 th April, 2020
b. Closing date for receipt of Expression of Interest	18 th May, 2020
c. Evaluation of Expressions of Interest	19 th May- 27 th May, 2020
d. Display of shortlist	29 th May 2020
e. Interviews	June

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UNDER SECRETARY/ACCOUNTING OFFICER

