



THE REPUBLIC OF UGANDA

MINISTRY OF FINANCE, PLANNING AND ECONOMIC DEVELOPMENT

NOTICE OF EXPRESSION OF INTEREST FOR PROVISION OF CONSULTANCY SERVICES TO REVIEW THE MINISTRY OF FINANCE, PLANNING AND ECONOMIC DEVELOPMENT FIVE-YEAR STRATEGIC PLAN (FY 2016-2021) AND DEVELOPMENT OF A NEW STRATEGIC PLAN FY 2021/22-2025/26

PROCUREMENT REF: MOFPED/CONS/20-21/00366

1. The Ministry of Finance, Planning and Economic Development (MoFPED) derives its mandate and functions from the 1995 Constitution of the Republic of Uganda and other related subordinate laws, including; the Public Finance Management Act, 2015, and Acts establishing Agencies and Auxiliary organizations. The Ministry plays an instrumental role in the management of the economy over the short, medium and long term.
2. In 2016, the Ministry developed a five-year strategic plan (2016-2021) to provide strategic policy direction aimed at contributing to the achievement of the SDGs and the envisaged faster and sustainable economic development in the NDP II. The Plan provides medium-term policy, legal, institutional and regulatory reform framework for accelerating growth in a dynamic socio-economic environment. The 2016-2021 Strategic Plan draws extensively from Uganda's Vision 2040, National Development Plan (II), the NRM Manifesto and the related 23 Presidential directives and the Programme Implementation Action Plans (PIAPs), which provide a framework to drive Uganda's transformation into upper middle-income status by 2040.
3. The Strategic Plan re-defined the Ministry's Vision, Mission, core functions, policy priorities, strategic objectives and resource requirements based on its mandate and the prevailing socio-economic environment. The Plan identified the necessary strategies to ensure that the Ministry's priorities are achieved. It also provided a framework for improved service delivery within the Ministry.

4. The third National Development Plan identified 18 Programmes along which MDA plans and strategic actions must be aligned towards achievement of Uganda's Vision 2040. Accordingly, the Ministry sub-programmes, Departments and Projects workplans shall be aligned with the NDP III PIAPs.

In line with the third NDP and Programme implementation Action Plans (PIAPs), the Ministry will contribute directly to the following programmes:

- i. Private Sector Development;
- ii. Development Plan Implementation;
- iii. Governance and Security; and
- iv. Sustainable Development of Petroleum Resources

Over the period 2016-2021, the Ministry accessed financial and technical resources through the MTEF to implement strategic direction identified in the Strategic Investment Plan. The resources have among others enabled the Ministry to formulate the Domestic Revenue Mobilization Strategy, implement the Public Financial Management Reforms and support implementation of the Ministry new structure geared towards achievement of the Ministry mandate, the ASSIP II, NDPII and ultimately, Uganda's Vision 2040

The rationale for the assignment is therefore twofold:

- i. The changes in development context including coming on board of the NDP III; changes in the fiscal landscape with more reliance on domestic revenue mobilization and a reduction in external support; the outbreak of COVID-19 Pandemic globally; rebasing of the GDP and the drive towards rationalization of Government Agencies all impacting on the core functions of the Ministry and the business processes necessitating the Ministry to reposition itself to respond to the new dynamics of development enshrined in the various policy contexts; and
- ii. The need to review consistency between the Ministry mandate, vision, mission and core functions in light of the projected attainment of a middle-income status in the second year of implementation of NDP III with a bearing on preferential treatment and the attendant need to devise strategies of mitigating the negative consequences from loss of preferential treatment from both bilateral and multilateral lenders.

It is therefore imperative that an evaluation of the extent of implementation of the Strategic Plan over the NDP II period is undertaken, focussing on the relevancy of the interventions,

effectiveness and efficiency and where available, the impact and sustainability of outcomes registered.

The Ministry is in need of consultancy services whose overall objective shall be to;

- i. Undertake an evaluation of the Ministry Strategic Plan to realign the ministry mandate, vision, mission, core functions and map them to support the Programme Approach to Planning and the Programme-Based Budgeting;
- ii. Develop a new Strategic Plan for the Ministry based on the evaluation report and in tandem with the NDP III and PIAPs taking into account the adverse impacts of the COVID-19 pandemic with specific measures to revamp Uganda's economy to the projected growth trajectory over the medium term.

5. Specifically, the consultancy firm is expected to;

- i. assess the relevance, effectiveness, efficiency, impact and sustainability of the MFPED interventions, results, implementation arrangements, institutional and organizational design/structures in relation to the broad NDP II agenda;
 - ii. identify the gaps in implementation of the Plan and provide practical recommendations on what should be done to close the gaps going forward;
 - iii. document the key achievements of the Ministry and accordingly populate the M&E framework indicators with the actual performance data for the last five years;
 - iv. Assess the relevance, effectiveness and functionality of the Ministry structure, programmes and sub programmes in line with the PBB reform and SWAP and where need be, recommend measures to enhance their effectiveness.
 - v. Assess the relevance of the indicators and set targets in the plan and propose indicators for the new strategic plan in line with the Vision 2040, NDP III, ASSIP III, SDGs, 23 Presidential directives, NRM manifesto and other policy directives
 - vi. Assess the extent to which the strategic plan contributed to effective monitoring and evaluation of performance within the Ministry and ultimately towards achievement of the NDP II and ASSIP II targets.
 - vii. To come up with a new strategic plan FY 2021/22-to FY 2025/26 demonstrating how the Ministry should reposition itself in Economic and Public Financial Management in line with the third NDP and Programme Implementation Action Plans (PIAPs)
6. Interested consultancy firms should provide information demonstrating that they are eligible and possess the required technical capacity and

professional qualifications to perform the services supported with relevant documentation.

7. The Entity now invites eligible consultancy firms to submit sealed expressions of interest for Consultancy services to review the Ministry of Finance, Planning and Economic Development Five-Year Strategic Plan (FY 2016-2021) and development of a new strategic plan FY 2021/22-2025/26

8. The short listing criteria will include:

a) **Eligibility** of the firm to perform the task to include;

- i. A copy of valid trading License or equivalent.
- ii. A copy of certificate of Incorporation/Registration or equivalent.
- iii. A signed statement indicating that the consultant does not have a conflict of interest in the subject of the procurement.
- iv. A copy of certificate of registration by the Public Procurement and Disposal of Public Assets (PPDA) in Uganda or its equivalent.
- v. A copy of Memorandum & Articles of Association or equivalent.
- vi. A copy of audited books of Accounts and financial statements for the last two years 2019 and 2020.
- vii. A signed statement stating that the consultancy firm is not insolvent, in receivership, bankrupt or being wound up.
- viii. The consultant is not suspended by relevant authority, PPDA in Uganda or debarred to participate in any procurement by any authority in the region or any donor such as World Bank, AFDB, EU etc.)
- ix. The consultancy firm has fulfilled the obligations to pay taxes in Uganda or elsewhere the business is located with proof of a copy of current (2021) Tax Clearance Certificate or its equivalent.
- x. The consultancy firm has fulfilled the obligation to pay social security contributions in Uganda or elsewhere the business is located, with proof of a copy of certificate for social security contribution.
- xi. The consultancy firm may associate or form Joint Ventures to achieve the required qualifications with a clear joint venture agreement.

xii. In case of a foreign consultancy firm, it must have local representation registered in Uganda.

- b) **Experience:** The successful firm must have regionally recognized track record in provision of consultancy services in the EAC region in the areas of; Macroeconomic Policy and Management; Budget preparation, execution and monitoring; Public Financial Management; Deficit Financing and Cash Policy; Development Policy and Investment Promotion; Financial Sector Development; Internal Oversight and Advisory Services; and Policy, Planning and Support Services. These should be evidenced by a list of previous assignments successfully conducted in Uganda, EAC Region and Internationally.

The firm(s) shall provide an evaluation team of experts with the necessary qualifications and expertise to ensure that services are performed to the highest standards.

The following Key Experts shall thus be required for execution of this Consultancy services;

- i. M&E Specialist (Team Leader);
- ii. Economic Specialists Economic management
- iii. Institutional Development and Governance Specialist;
- iv. Taxation Policy and Revenue Management Specialist;
- v. Public Financial Management Specialist;
- vi. Development Policy and Policy Analysis experts;
- vii. Debt Policy and Debt Analysis Experts;
- viii. Investment Promotion and Policy Experts;
- ix. Financial Services Experts (Insurance, Banking, Savings/Retirement benefits, etc.);
- x. Planning and Budgeting Expert;
- xi. Public Procurement Policy specialist; and
- xii. Governance, Internal Oversight and Advisory Services Specialists.

c) **Key Technical Professional staff:**

1. **M&E Specialist/Team Leader**

The Team Leader, a Local and or an International Consultant shall have demonstrated professional experience in the design and implementation of evaluation studies. S/he must demonstrate knowledge of key Government of Uganda policies and procedures and possess good writing skills. S/he will be responsible for leading the team in the design of the methodology, execution, reporting and have overall responsibility for preparation of the final product and presentation to the US/AO, Top Technical and Top Management Members

The Team Leader must therefore meet the following: requirements;

- At least 10 years of international experience in designing, developing, implementing, monitoring and evaluation of national, sector, MDAs and corporate policies, strategies, programs and projects;
- Proven experience and solid understanding of the Ministry, Accountability Sector, Sector Wide Approach, Public Financial and Economic Management policies and programmes in Uganda and similar countries.
- Proven experience in developing national, sector and MDAs corporate M&E systems.
- Possess thorough knowledge and understanding of Uganda's development context/issues including familiarity with the Government Planning, Budgeting, Reporting, Monitoring and Evaluation mechanisms;
- At least a Master's Degree in Monitoring and Evaluation, with a first degree in any of the above Specialities;
- Strong reporting, communication, documentation and presentation skills.
- Demonstration of strong analytical and research skills.

2. The other Key Specialists proposed must:

- Possess at least a Master's degree or its equivalent in their respective fields from a recognized institution;
- Possess at least twelve (12) years of relevant working experience, two (2) of which should have been in East Africa;
- Participate in the design of tools, collection and analysis of information, preparation of the report and in all the briefing sessions;

d) **Reference letter:** The consultancy firm must submit at least 1 reference letter from the government institution confirming the successful implementation of similar consultancy services and certificate of completion.

9. Interested eligible consultancy firms may obtain further information in writing at the address given below from **Monday to Friday** between **08:00am to 05:00pm**.

Ministry of Finance, Planning & Economic Development
 Procurement & Disposal Unit
 Plot 2/12 Apollo Kaggwa Road
 Finance Building,
 Floor 1, Room 1.5
 Tel: 256-414-707000

8 Sealed Expressions of Interest (one original and two copies) must be delivered in written form must be delivered to the

address in 7 above by 10:00am (EAT/ local time) on 10th September, 2020 at the address. The packaging must be clearly marked Consultancy Services to review the Ministry of Finance, Planning and Economic Development Five-Year Strategic Plan (FY 2016-2021) and development of a new strategic plan FY 2021/22-2025/26

9 The notice of expression of interest is available at the Entity's website at www.finance.go.ug and www.ppda.go.ug

10 The planned Procurement schedule (Subject to changes) is as follows:

| Activity | Date |
|---|--|
| a. Publication of Notice of Expression of Interest | 20 th May, 2021 |
| b. Closing date for receipt of Expression of Interest | 7 th June, 2021 |
| c. Evaluation of Expressions of Interest | 11 th - 22 nd June 2021 |
| d. Display of shortlist | 24 th June - 7 th July, 2021 |



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Sengonzi E. Damulira
UNDER SECRETARY/ACCOUNTING OFFICER